

# On some GCD, linear recurrences and unlikely intersection problems

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# Motivation

Outline some recent results motivated by the following

## Basic Question:

*Given  $a, b \in \mathbb{Z}$ ,  $a, b \geq 2$ , what can one say about*

$$\gcd(a^n - 1, b^n - 1)$$

*and in particular prove that  $a^n - 1$  and  $b^n - 1$  are coprime for infinitely many  $n$ .*

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In this talk we discuss the **function field** case, where the **Basic Question** becomes: for  $f, g \in \mathbb{C}[X]$ , give upper bounds for

$$\deg \gcd(f^n - 1, g^n - 1).$$

More generally, let  $(F_n)_{n \geq 1}, (G_m)_{m \geq 1}$  be two interesting sequences of polynomials in  $\mathbb{C}[X]$ . We want **uniform bounds** for

$$\deg \gcd(F_n(X), G_m(X)) \quad \text{for all } n, m \geq 1.$$

Some examples include:

- 1  $F_n = F(f_1^n, \dots, f_\ell^n), G_m = G(g_1^m, \dots, g_\ell^m)$ , where  $F, G \in \mathbb{C}[X_1, \dots, X_\ell], f_i, g_j \in \mathbb{C}[X]$ .
- 2  $(F_n), (G_m)$  are two linear recurrence sequences (LRS).
- 3  $F_n = f^{(n)} - c, G_m = g^{(m)} - c$ , where  $f, g, c \in \mathbb{C}[X]$ , and

$$f^{(n)}(X) := \underbrace{f \circ f \circ \dots \circ f}_{n \text{ copies}}(X).$$

- 4 Combinations of the above, e.g.,  $F_n = X^n - 1, G_m = f^{(m)} - 1$ .

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Some of these GCD problems are intimately related to **unlikely intersection** problems for parametric curves, such as, intersection of curves with:

- torsion points (= roots of unity);
- division groups;
- algebraic subgroups of  $\mathbb{G}_m^n$ .

# Why are we interested?

These problems are just *simply beautiful!*

They also naturally appear in various algorithmic/cryptographic applications. For example,

- *Sorenson & Webster (2017)*: finding strong pseudoprimes to several bases simultaneously.
- *Luca & Shparlinski (2005)*: Lower bounds on
  - exponents of the group of points,
  - embedding degree,

of elliptic curves over high degree extensions of finite fields; both are related to *cryptology*.

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- *Chang* (2013) (plane curves), improving *Voloch* (2007, 2010), and *Chang, Kerr, Shparlinski and Zannier* (2014) (algebraic varieties): lower bounds for the order of points on curves or higher dimensional varieties over  $\overline{\mathbb{F}}_p$ , as steps toward Poonen's Conjecture.

Such bounds also lead to explicit constructions of elements of finite fields of high order.

- Some of the above results and ideas have been used by *Bourgain, Gamburd & Sarnak* (2016) to describe the structure of solutions of the *Markoff equation* in reductions modulo sufficiently large primes. Building on these results *Chen* (2021) has essentially completed this characterisation.



*Fuchs, Lauter, Litman & Tran* (2021): Markoff equation based cryptographic hash function.

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# Notation and Formal Set Up

- $\alpha_1, \dots, \alpha_s \in \mathbb{C}^*$  are **multiplicatively independent (mult. indep.)** if

$$\alpha_1^{k_1} \cdots \alpha_s^{k_s} \neq 1 \quad \forall (k_1, \dots, k_s) \in \mathbb{Z}^s \setminus \{\mathbf{0}\}.$$

Otherwise  $\alpha_1, \dots, \alpha_s$  are **multiplicatively dependent (mult. dep.)**.

- $f_1, \dots, f_s \in \mathbb{C}(X)$  are **mult. indep. with constants** if

$$f_1^{k_1} \cdots f_s^{k_s} \notin \mathbb{C}^* \quad \forall (k_1, \dots, k_s) \in \mathbb{Z}^s \setminus \{\mathbf{0}\}.$$

- $\mathbb{G}_m^n = (\mathbb{C}^*)^n$  the  $n$ -dimensional torus  
 $(\omega_1, \dots, \omega_n) \in \mathbb{G}_m^n$  is called **torsion point** if all  $\omega_i$  are roots of unity.

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# Some unlikely intersection problems

# Underlying problem: Torsion points on plane curves

At the heart of the **function field** case, stays the following result conjectured by *Lang* and proved by *Ihara, Serre & Tate (1960s)*:

Let  $H(X, Y) \in \mathbb{C}[X, Y]$  be irreducible, not of the form  $X^i - \rho Y^j$  or  $X^i Y^j - \rho$  with a root of unity  $\rho$ . Then the curve  $H(X, Y) = 0$  has only finitely many torsion points  $(\zeta_1, \zeta_2)$ .

*Beukers & Smyth (2002)*: bound for the number of torsion points

*Corvaja & Zannier (2008)*: bound for maximal order of torsion points

Remark: Since the orders are bounded we can effectively find all such points.

Reformulation of Lang's problem for plane rational curves: Let  $f, g \in \mathbb{C}(X)$  be mult. indep. Then there are at most finitely many  $\alpha \in \mathbb{C}$  such that

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# Unimodular points on rational curves

Instead of looking only at roots of unity, one can ask more generally about finiteness of  $\alpha \in \mathbb{C}$  such that

$$|f(\alpha)| = |g(\alpha)| = 1.$$

*Corvaja, Masser & Zannier (2013)*: finiteness result for  $f(x) = x$ ,  $g \in \mathbb{C}[x]$ .

Pakovich & Shparlinski (2020)

Let  $f, g \in \mathbb{C}(x)$ . Then one has

$\#\{\alpha \in \mathbb{C} : |f(\alpha)| = |g(\alpha)| = 1\} \leq (\deg f + \deg g)^2$ ,  
unless  $f$  and  $g$  are *special* (defined in terms of Blaschke products).

Remark 1: If  $f, g \in \mathbb{C}[X]$ , then

*special* =  $f$  and  $g$  are mult. dep.

Remark 2: Writing  $\alpha = a + ib$  we obtain a system of two equations in  $a$  and  $b$ . Hence once we know the finiteness of solutions we can effectively find them all.

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# Intersection of curves with algebraic subgroups

Bombieri, Masser & Zannier (1999)

Let  $f_1, \dots, f_s \in \overline{\mathbb{Q}}(X)$  be mult. indep. with constants. Then

$$\mathcal{S}_{f_1, \dots, f_s}(\overline{\mathbb{Q}}) = \{\alpha \in \overline{\mathbb{Q}} : f_1(\alpha), \dots, f_s(\alpha) \text{ are mult. dep.}\}$$

is a set of *bounded Weil height*.

Remarks:

- $\mathcal{S}_{f_1, \dots, f_s}(\overline{\mathbb{Q}})$  is an infinite set.
- The proof is effective and gives explicit bound for the height.
- The condition on  $f_1, \dots, f_s$  being mult. indep. **with constants** is necessary, that is, it is not enough to be just mult. indep.

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# Intersection of curves with algebraic subgroups

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is a set of *bounded Weil height*.

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Let  $f_1, \dots, f_s \in \overline{\mathbb{Q}}(X)$  be mult. indep. Then there are at most **finitely** many  $\alpha \in \overline{\mathbb{Q}}$  such that

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Corollary: Let  $\Gamma$  be a finitely generated subgroup of  $\overline{\mathbb{Q}}^*$  and  $f_1, \dots, f_s \in \overline{\mathbb{Q}}(X)$  mult. indep. modulo  $\Gamma$ . Then there are at most **finitely** many  $\alpha \in \overline{\mathbb{Q}}$  such that

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# Bounded height for zeros of polynomial recurrences

As a direct consequence of a more general result:

Amoroso, Masser & Zannier (2017)

Let  $a_i, f_i \in \overline{\mathbb{Q}}(X)$ ,  $i = 1, \dots, k$ , be nonzero rational functions such that  $f_s/f_r$  is non-constant for any  $1 \leq r < s \leq k$ . There exists an **effectively computable** constant  $C$ , which depends on  $a_1, \dots, a_k, f_1, \dots, f_k$  such that if for any  $n \geq C$  and any  $\alpha \in \overline{\mathbb{Q}}$  one has

$$F_n(\alpha) = \sum_{i=1}^k a_i(\alpha) f_i(\alpha)^n = 0,$$

then

$$h(\alpha) \leq C.$$

## Remarks:

- If  $a_i, f_i \in \overline{\mathbb{Q}}[X]$ , for every given  $D$  there are only finitely many monic  $h \in \overline{\mathbb{Q}}[X]$  of degree  $D$  such that  $h \mid F_n$  for some  $n$  (if  $F_n(X) \neq 0$ ).
- If  $a_i \in \overline{\mathbb{Q}}$ , then this is an instance of *unlikely intersection*, that is, we look at points  $P$  on a parametric curve such that  $[n]P \in V$ , where  $V$  is a hyperplane.

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## Open Problem

Let

$$F_n = \sum_{i=1}^k a_i(X) f_i(X)^n, \quad G_n = \sum_{i=1}^{\ell} b_i(X) g_i(X)^n, \quad n \geq 0,$$

where  $a_i, b_i, f_i, g_i \in \overline{\mathbb{Q}}[X]$ . Show that, under some natural conditions,

$$\#\{\alpha \in \overline{\mathbb{Q}} : F_n(\alpha) = G_m(\alpha) = 0 \text{ for some } n, m \geq 1\} < \infty.$$



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# GCD problems in function fields

# $\gcd(f^n - 1, g^n - 1)$ over $\mathbb{C}$

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Let  $f, g \in \mathbb{C}[X]$  be mult. indep. over  $\mathbb{C}(X)$ . For all  $n \geq 1$ , there exists  $h \in \mathbb{C}[X]$  such that

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then there is a finite union of arithmetic progressions  $\cup d_i \mathbb{N}$ ,  $d_i \geq 2$ , such that for  $n$  outside these progressions,

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Remark: By *Beukers & Smyth (2002)*:

$$\deg h \leq (11(\deg f + \deg g)^2)^{\min(\deg f, \deg g)}.$$

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*Heath-Brown & Konyagin (2000)*

*Ghioca, Hsia & Tucker (2017)*: several other extensions, for example proving finiteness result for the set of roots of gcd's of the form

$$\gcd(f_1^n - g_1, f_2^m - g_2), \quad n, m \geq 1,$$

where  $f_1, f_2, g_1, g_2 \in \mathbb{F}[X]$  ( $\mathbb{F}$  is a field of char  $p > 0$ ) are fixed and  $f_1$  and  $f_2$  are algebraically independent over  $\mathbb{F}_p$ .

# Coming back to $\mathbb{C}$

*Corvaja & Zannier (2008):*

$$\deg \gcd(u - 1, v - 1) \ll_S \max(\deg u, \deg v)^{2/3}.$$

**Question:** For  $f_1, \dots, f_s, g_1, \dots, g_r \in \mathbb{C}[X]$ , can we have a **uniform bound** when

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for all  $n_1, \dots, n_s, m_1, \dots, m_r$ ?

If one restricts the polynomials to being defined over number fields, one can achieve uniformness, even in the more general case of

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Let  $\Gamma \subseteq \overline{\mathbb{Q}}^*$  be a finitely generated group and  $f_1, \dots, f_s, g_1, \dots, g_r \in \overline{\mathbb{Q}}[X]$  mult. indep. modulo  $\Gamma$ . Then there exists  $H \in \overline{\mathbb{Q}}[X]$  such that for any monic  $h_1, h_2 \in \overline{\mathbb{Q}}[X]$  of fixed degree, with roots in  $\Gamma$ , one has

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$$\mathcal{D}_{\mathbf{n}, \mathbf{m}} = \gcd(f_1^{n_1} \cdots f_s^{n_s} - \omega_1, g_1^{m_1} \cdots g_r^{m_r} - \omega_2)$$

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Intersection of parametric curves with algebraic subgroups



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**Intersection of parametric curves with algebraic subgroups**



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Controlling multiplicities via *Mason's (1984)* polynomial ABC



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$$\deg \gcd \left( F(g_1^k, \dots, g_\ell^k), G(g_1^k, \dots, g_\ell^k) \right) < \varepsilon k$$

provided that  $k$  is large enough.

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- If  $F = h_1(X_1 \cdots X_r)$  and  $G = h_2(X_{r+1} \cdots X_\ell)$ , then *A.O. & Shparlinski (2020)* give a uniform bound independent of  $k$ .
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# GCD of LRS

Let  $(F_n)_{n=1}^{\infty}, (G_n)_{n=1}^{\infty}$  be two simple LRS defined by

$$F_n = \sum_{i=1}^k a_i(X) f_i(X)^n, \quad G_n = \sum_{i=1}^{\ell} b_i(X) g_i(X)^n, \quad n \geq 0,$$

where  $a_i, b_i, f_i, g_i \in \overline{\mathbb{Q}}[X]$ .

## Open Problem

*Show that, under some natural conditions,*

$$\#\{\alpha \in \overline{\mathbb{Q}} : F_n(\alpha) = G_m(\alpha) = 0 \text{ for some } n, m \geq 1\} < \infty$$

This would show that there are at most finitely many  $\alpha \in \overline{\mathbb{Q}}$  such that

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A motivation for the above result comes also from:

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Given an LRS  $\{u_n\}$ ,  $n \geq 0$ , defined over  $\mathbb{C}$ , decide if there is  $n \geq 1$  such that  $u_n = 0$ .

Let us define

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## Skolem-Mahler-Lech

The set  $\mathcal{S}(\{u_n\})$  is the union of finitely many arithmetic progressions and a finite set. If  $\{u_n\}$  is non-degenerate, then  $\mathcal{S}(\{u_n\})$  is finite.

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# Universal Skolem Sets

Instead of imposing restrictions on the LRS (eg, order, dominance of roots, etc), one can restrict the domain of search to so-called:

## Definition (Universal Skolem Set)

An infinite set  $\mathcal{T} \subseteq \mathbb{N}$  is a *Universal Skolem Set* if there is an effective procedure that given an LRS, decides if it has a zero  $n \in \mathcal{T}$ .

*Luca, Ouaknine & Worrell (2022):*

- Let

$$s_0 = 1, \quad s_n = n! + s_{\lfloor \sqrt{\log n} \rfloor}, \quad n > 0.$$

Then the set  $\mathcal{T} = \{s_n : n \in \mathbb{N}\}$  is a Universal Skolem Set. However, this is a sparse set of density zero.

- More involved construction of a Universal Skolem Set which is of positive lower density, and conditionally on some assumptions on the distributions of primes, they prove this set is of density 1.

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# Skolem Problem for specialisations of LRS

Let

$$\mathbf{a} = (a_1, \dots, a_k), \mathbf{f} = (f_1, \dots, f_k) \in \overline{\mathbb{Q}}(X)^k,$$

and consider the linear recurrence sequences as above

$$F_n(X) = \sum_{i=1}^k a_i(X) f_i(X)^n, \quad n \geq 0.$$

We give a bound for the largest zero in (all but a set of bounded height of) specialisations of  $F_n(X)$ ,  $n \geq 1$ .



Skolem Problem is effectively decidable for specialisations as above.

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# Bound on the zeros

We define the set

$$\mathcal{E}_{\mathbf{a},\mathbf{f}} = \{\alpha \in \overline{\mathbb{Q}} : f_i(\alpha)/f_j(\alpha) \text{ is a root of unity for some } 1 \leq i < j \leq k \\ \text{or } a_i(\alpha) = 0 \text{ or } f_i(\alpha) = 0 \text{ for some } 1 \leq i \leq k\}.$$

## A.O. & Shparlinski (2020)

Let  $a_i, f_i \in \overline{\mathbb{Q}}(Z)$ ,  $i = 1, \dots, k$ , be nonzero of degree at most  $d$  such that  $f_i/f_j$  is non-constant for any  $1 \leq i < j \leq k$ . Assume that for any  $1 \leq r < s < t \leq k$ , the pairs  $(f_s/f_r, f_t/f_r)$  are “non-exceptional”. For all but at most  $d^2 k^3$  elements  $\alpha \in \overline{\mathbb{Q}} \setminus \mathcal{E}_{\mathbf{a},\mathbf{f}}$  any zero  $n \in \mathbb{N}$  of the equation

$$F_n(\alpha) = 0$$

satisfies

$$n \leq \exp(CD_\alpha^4),$$

where  $D_\alpha =$  degree of the smallest Galois field  $\mathbb{K}$  with  $\alpha \in \mathbb{K}$  and  $C = C(a_i, f_i)$ .

# Application

A.O. & Shparlinski (2020)

Let  $a_i, f_i \in \overline{\mathbb{Q}}[X]$ ,  $i = 1, \dots, k$ , be as above and such that  $\gcd(a_1 f_1, \dots, a_k f_k) = 1$ . Then the splitting field  $\mathbb{L}_n$  of the polynomial

$$F_n(X) = \sum_{i=1}^k a_i f_i^n$$

is of degree

$$[\mathbb{L}_n : \mathbb{Q}] \geq c_0 (\log n)^{1/4},$$

where  $c_0$  is an effective constant depending only on  $a_1, f_1, \dots, a_k, f_k$ .

Remark: Apart from a finite set of polynomials, the degrees of the irreducible factors over  $\mathbb{Q}$  of  $F_n$  tends to  $\infty$ .

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# Main tools

Let  $\alpha \in \overline{\mathbb{Q}} \setminus \mathcal{E}_{\mathbf{a}, \mathbf{f}}$  such that  $F_n(\alpha) = \sum_{i=1}^k a_i(\alpha) f_i(\alpha)^n = 0$ .

- The characteristic roots  $f_i(\alpha)$  with

$$|f_i(\alpha)| = \max\{|f_1(\alpha)|, \dots, |f_k(\alpha)|\}$$

are called **dominant roots**.

- If one has only one dominant root, it is easy to bound  $n$  as above.
- If one has only two dominant roots: we use [Sha \(2019\)](#)  $\implies n \leq \exp(CD_\alpha^4(h(\alpha) + 1))$ .
- [Amoroso, Masser & Zannier \(2017\)](#): the set of  $\alpha \in \overline{\mathbb{Q}}$  as above is a set of bounded Weil height  $\implies n \leq \exp(CD_\alpha^4)$ .

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## At least three dominant roots

This means:  $|f_r(\alpha)| = |f_s(\alpha)| = |f_t(\alpha)|$  for some  $1 \leq r < s < t \leq k$ , or equivalently,

$$\frac{|f_s(\alpha)|}{|f_r(\alpha)|} = \frac{|f_t(\alpha)|}{|f_r(\alpha)|} = 1.$$



### Unimodular points on plane curves

Pakovich & Shparlinski (2020)

Let  $(f_1(X), f_2(X)) \in \mathbb{C}(X)$  be of degrees  $n_1$  and  $n_2$ , respectively. Then

$$\#\{\alpha \in \mathbb{C} : |f_1(\alpha)| = |f_2(\alpha)| = 1\} \leq (\deg f_1 + \deg f_2)^2,$$

unless  $(f_1(X), f_2(X))$  is “exceptional”.

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unless  $(f_1(X), f_2(X))$  is “exceptional”.

- **Uniform bound:** We would like to obtain a bound which is independent of  $\alpha$ , when  $\alpha$  is restricted to special subsets of  $\overline{\mathbb{Q}}$ , such as the set of all **roots of unity**. This in particular would imply that the set

$$\{\alpha \in \overline{\mathbb{Q}} : \alpha^n = 1, F_m(\alpha) = 0 \text{ for some } n, m \geq 1\},$$

is finite.

↓

$$\deg \gcd(X^n - 1, F_m(X)) \ll 1 \quad \text{for all } n, m \geq 1.$$

More generally, one can ask about the finiteness of the set

$$\{\alpha \in \mathbb{K}^c : F_n(\alpha) = 0 \text{ for some } n \geq 1\},$$

where  $\mathbb{K}^c$  is the cyclotomic closure of  $\mathbb{K}$  (one achieves this in the multiplicative case – *O., Sha, Shparlinski & Zannier (2019)*).

- **Generalisation to  $S$ -unit equations:** Let  $\Gamma$  be a finitely generated subgroup of  $\overline{\mathbb{Q}}(X)$  and fix  $a_1, \dots, a_k \in \overline{\mathbb{Q}}(X)$ .

*Amoroso, Masser & Zannier (2017):* for any  $u_1, \dots, u_k \in \Gamma$  such that

$$u_i/u_j \notin \overline{\mathbb{Q}}, \quad 1 \leq i < j \leq k, \quad \text{and} \quad \sum_{i=1}^k a_i u_i \neq 0,$$

the set

$$\mathcal{S}(a_1, \dots, a_k; \Gamma) = \left\{ \alpha \in \overline{\mathbb{Q}} : \sum_{i=1}^k a_i(\alpha) u_i(\alpha) = 0 \right\}$$

is of bounded height (depending only on  $a_1, \dots, a_k$  and  $\Gamma$ ).

A solution  $\alpha \in \mathcal{S}(a_1, \dots, a_k; \Gamma)$  is called *primitive* if  $u_i(\alpha) = 1$  for some  $i = 1, \dots, k$ .

Is it true, under some natural conditions on  $\Gamma$ , that outside of a set of  $\alpha \in \overline{\mathbb{Q}}$  of bounded height for every primitive  $\alpha \in \mathcal{S}(a_1, \dots, a_k; \Gamma)$ ,  $\max_{i=1, \dots, k} \deg u_i(\alpha)$  is bounded only in terms of the degree  $[\mathbb{Q}(\alpha) : \mathbb{Q}]$ , the coefficients  $a_1, \dots, a_k$  and the generators of  $\Gamma$ ?