



SOLVING QUADRATIC EQUATIONS IN  
DIMENSION 5 OR MORE WITHOUT FACTORING



ANTS X

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# Summary

- 1 Introduction
- 2 The algorithm
- 3 Complexity
- 4 Example

# What's next: Introduction

- 1 Introduction

## Quadratic equations. . .

We consider **homogenous** quadratic equations with **integral** coefficients and search for a **nontrivial** and **integral** solution.

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Equation:

$$ax^2 = 0$$

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Equation:

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Solution:

$$x = 0$$

Dimension 2:

Equation:

$$ax^2 + bxy + cy^2 = 0$$

Solution:

- 1 Compute  $\Delta = b^2 - 4ac$
- 2 If  $\Delta$  is a square, solutions are:

$$x = \frac{-b \pm \sqrt{\Delta}}{2a} y$$

# Minimisation and Reduction

We use the matrix notation:  $Q$  is the  $n$ -dimensional symmetric matrix containing the coefficients of the equation.

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Let  $Q$  be a quadratic form with determinant  $\Delta$ .

- ▶ **Minimising  $Q$** : finding transformations for  $Q$  in order to get another quadratic form  $Q'$  with same dimension as  $Q$  such that:
  - $Q'$  and  $Q$  have the same solutions (up to a basis change),
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  - $Q'$  and  $Q$  have the same solutions (up to a basis change),
  - $\det(Q')$  divides  $\Delta$ .
- ▶ **Reducing** the form  $Q$ : it's finding a basis change  $B$  such that:
  - $\det(B) = \pm 1$ ,
  - the coefficients of  $Q' = {}^tBQB$  are smaller than the ones of  $Q$ .

# Quadratic equations in dimensions 3, 4 and more: Simon's algorithm

- 1 Factor the determinant of  $Q$ ,
- 2 Minimise  $Q$  relatively to each prime factor of  $\det(Q)$ ,
- 3 Reduce  $Q$  using the LLL algorithm,
- 4 Use number theory tools in order to end the minimisation of  $Q$ ,
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## This algorithm:

- ▶ creates a link between factoring and solving quadratic equations
- ▶ can be generalised to forms of higher dimension

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### Cons:

But as soon as the size of the determinant reaches  $\simeq 50$  digits, the factorisation becomes prohibitively slow.

So, we are given the following problem:

### Problem:

Let  $Q$  be a dimension 5 quadratic form. We assume that  $\det(Q)$  cannot be factored (in a reasonable amount of time). Find a non zero vector  $X \in \mathbb{Z}^5$  such that:

$${}^tXQX = 0$$

# What's next: The algorithm

- 2 The algorithm
  - Principle
  - Completion
  - Computing a solution
  - Minimisations

# Principle

Simon's algorithm is very efficient as soon as the factorization of  $\det(Q)$  is known.



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## Idea:

- 1 Build another quadratic form  $Q_6$  starting from  $Q$  for which computing a solution is "easy",
- 2 Use Simon's algorithm to find a solution for  $Q_6$ ,
- 3 Deduce a solution for  $Q$ .

## How to build $Q_6$ ?

If  $Q$  designs the matrix of the quadratic form  $Q$ , we build  $Q_6$  in the following way:

$$Q_6 = \left[ \begin{array}{c|c} Q & X \\ \hline {}^tX & z \end{array} \right]$$

Where  $X \in \mathbb{Z}^5$  is randomly chosen and  $z \in \mathbb{Z}$ .

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So we have:

$$\det(Q_6) = \det(Q)z - {}^tX \operatorname{Co}(Q)X$$

And we choose  $z$  such that:

$$\det(Q_6) = - {}^tX \operatorname{Co}(Q)X \pmod{\det(Q)}.$$

## The way to the solution...

As the value of  $\det(Q_6)$  is known in advance, we try some vector  $X$  until we have  $\det(Q_6)$  prime.

### Principle:

$\det(Q_6)$  being prime, it is possible to use Simon's algorithm in order to find a vector  $T \in \mathbb{Z}^6$  such that:

$${}^t T Q_6 T = 0$$

The vector  $T$  is isotropic for  $Q_6$ . So, in a basis whose first vector is  $T$ ,  $Q_6$  has the form:

$$Q_6 = \begin{bmatrix} 0 & * & * & * & * & * \\ * & * & * & * & * & * \\ * & * & * & * & * & * \\ * & * & * & * & * & * \\ * & * & * & * & * & * \\ * & * & * & * & * & * \end{bmatrix}$$

## Decomposition $Q_6 = H \oplus Q_4$

The vector  $T$  is a solution for  $Q_6$  so there exists an hyperbolic plane which contains it. With linear algebra (GCD), we get a “correct” basis. In such a basis,  $Q_6$  has the shape:

$$Q_6 = \left[ \begin{array}{cc|cccc} 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & \alpha & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & & & & \\ 0 & 0 & & & & \\ 0 & 0 & & & Q_4 & \\ 0 & 0 & & & & \end{array} \right]$$

Where  $\alpha \in \{0, 1\}$  and  $Q_4$  is a dimension 4 quadratic form, with determinant  $-\det(Q_6)$ . So it's prime again...



Decomposition  $Q_6 = H \oplus H' \oplus Q_2$

... so we do it again : Simon's algorithm and linear algebra with  $Q_4$ . In the new basis,  $Q_6$  has the following shape:

$$Q_6 = \left[ \begin{array}{cc|cc|cc} 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & \alpha & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & \beta & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & & \\ 0 & 0 & 0 & 0 & & Q_2 \end{array} \right]$$

where  $\alpha, \beta \in \{0, 1\}$  and  $Q_2$  is a dimension 2 quadratic form.

If we denote by  $e_1$  and  $e_3$  the following basis vectors:

$$Q_6 = \left[ \begin{array}{cc|cc|cc} 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & \alpha & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & \beta & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & & \\ 0 & 0 & 0 & 0 & & Q_2 \end{array} \right]$$

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Then  $e_1$  and  $e_3$  are both **isotropics** and **orthogonals**.

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Then  $e_1$  and  $e_3$  are both **isotropics** and **orthogonals**.

### The solution:

consider a linear combinaison whose last coordinate is zero.

Example:

$$\tilde{S} = e_3(6) \times e_1 - e_1(6) \times e_3$$

So  $\tilde{S}$  has the shape:

$$\tilde{S} = \begin{bmatrix} S \\ \bar{0} \end{bmatrix} \text{ with } S \in \mathbb{Z}^5$$

Assuming that all of the basis changes have been applied, we have:

$$\begin{aligned} {}^t\tilde{S}Q_6\tilde{S} &= \begin{bmatrix} {}^tS & 0 \end{bmatrix} \begin{bmatrix} Q & X \\ \hline {}^tX & Z \end{bmatrix} \begin{bmatrix} S \\ \bar{0} \end{bmatrix} \\ &= {}^tSQS \\ &= 0 \end{aligned}$$

We have then:

$S$  is a solution to our problem.

## The algorithm:

- 1 Complete  $Q$  in  $Q_6$  in such a way that  $\det(Q_6)$  is prime,
- 2 Use Simon's algorithm for  $Q_6$ ,
- 3 Using linear algebra, decompose  $Q_6$  in  $Q_6 = H \oplus Q_4$  ( $H$  hyperbolic plane),
- 4 Do step 2 for  $Q_4$ ,
- 5 Using linear algebra, decompose  $Q_6$  in  $Q_6 = H \oplus H' \oplus Q_2$  ( $H, H'$  hyperbolic planes),
- 6 Deduce a solution for  $Q$ .

# Smith Normal Form:

## SNF Decomposition

Let  $A$  be a  $k \times k$  matrix with integer entries and non zero determinant. There exists a unique matrix in Smith Normal Form  $D$  such that  $UAV = D$  with  $U$  and  $V$  unimodular and integer entries.

If we denote by  $d_i = d_{i,i}$ , the  $d_i$  are the *elementary divisors* of the matrix  $A$ , and we have :

$$UAV = \begin{bmatrix} d_1 & 0 & \dots & 0 \\ 0 & d_2 & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & d_k \end{bmatrix}$$

with  $d_{i+1} \mid d_i$  for  $1 \leq i < k$

## The problem:

In the algorithm, we are looking for  $X \in \mathbb{Z}^5$  such that  $\det(Q_6)$  is prime. However :

### Lemma

Let  $Q$  be a dimension 5 quadratic form with determinant  $\Delta$ . Then for all  $X \in \mathbb{Z}^5$  and  $z \in \mathbb{Z}$ ,  $d_2(Q)$  divides  $\det(Q_6)$ .



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### Lemma

Let  $Q$  be a dimension 5 quadratic form with determinant  $\Delta$ . Then for all  $X \in \mathbb{Z}^5$  and  $z \in \mathbb{Z}$ ,  $d_2(Q)$  divides  $\det(Q_6)$ .

### Problem

If  $d_2(Q) \neq 1$ ,  $\det(Q_6)$  will never be a prime !

## The solution:

### Solution

Do minimisations on  $Q$  to be in the case where  $d_2(Q) = 1$ .

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Do minimisations on  $Q$  to be in the case where  $d_2(Q) = 1$ .

We have the different cases:

- ① Case  $d_5(Q) \neq 1$ ,
- ② Case  $d_4(Q) \neq 1$  and  $d_5(Q) = 1$ ,
- ③ Case  $d_3(Q) \neq 1$  and  $d_4(Q) = 1$ ,
- ④ Case  $d_2(Q) \neq 1$  and  $d_3(Q) = 1$ .

## Cases 1, 2 and 3

We apply the basis change given by the matrix  $V$  of the SNF of  $Q$ :

- ▶ if  $d_5(Q) \neq 1$ :
  - we just have to divide the matrix by  $d_5$ ,
  - we have divided  $\det(Q)$  by  $(d_5)^5$ .
- ▶ if  $d_4(Q) \neq 1$  and  $d_5(Q) = 1$ :
  - we multiply the last row and column by  $d_4$ ,
  - we divide the matrix by  $d_4$ ,
  - we have multiplied  $\det(Q)$  by  $(d_4)^2$  and divided by  $(d_4)^5$ .
- ▶ if  $d_3(Q) \neq 1$  and  $d_4(Q) = 1$ :
  - we multiply the two last rows and columns by  $d_3$ ,
  - we divide the matrix by  $d_3$ ,
  - we have multiplied  $\det(Q)$  by  $(d_3)^4$  and divided by  $(d_3)^5$ .

## Case $d_2(Q) \neq 1$ and $d_3(Q) = 1$

We first apply the basis change given by the matrix  $V$  of the SNF of  $Q$ . In such a base,  $Q$  has the form :

$$\left[ \begin{array}{cc|ccc} d_2^* & d_2^* & d_2^* & d_2^* & d_2^* \\ d_2^* & d_2^* & d_2^* & d_2^* & d_2^* \\ \hline d_2^* & d_2^* & * & * & * \\ d_2^* & d_2^* & * & * & * \\ d_2^* & d_2^* & * & * & * \end{array} \right]$$

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- ▶ But if we do this, we multiply the determinant by  $d_2^6$  and we divide it by  $d_2^5$ ...

### Solution:

Solve a quadratic equation modulo  $d_2$  such that:

$$Q_{3,3} \equiv 0 \pmod{d_2}$$

and do the desired operation on the two last rows and columns.



## How to get $Q_{3,3} \equiv 0 \pmod{d_2}$ ?

We begin by a Gram–Schmidt orthogonalisation on the  $3 \times 3$  block modulo  $d_2$ . In that basis, the block  $Q_3$  has the form:

$$\begin{bmatrix} a & & 0 \\ & b & \\ 0 & & c \end{bmatrix} \pmod{d_2}$$

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# Pollard–Schnorr's algorithm (1987)

Solves equations of type:

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Without factoring  $n$

Principle:

- ▶ Based on the property of multiplicativity of the norm in quadratic extensions:

$$(x_1^2 + ky_1^2)(x_2^2 + ky_2^2) = X^2 + kY^2$$

- ▶ Variables changes to decrease the size of the coefficients
- ▶ To be in the case where:

$$(k, m) \in \{(1, 1), (-1, 1), (-1, -1)\}$$

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We are going to use Pollard–Schnorr to solve:

$$x^2 + \frac{b}{a}y^2 = \frac{-c}{a} \pmod{d_2}$$

Taking  $z = 1$  gives us a vector as we wish. ie in the basis containing the founded vector,  $Q$  has exactly the form:

$$\left[ \begin{array}{cc|ccc} d_2* & d_2* & d_2* & d_2* & d_2* \\ d_2* & d_2* & d_2* & d_2* & d_2* \\ \hline d_2* & d_2* & d_2* & * & * \\ d_2* & d_2* & * & * & * \\ d_2* & d_2* & * & * & * \end{array} \right]$$

## Finishing the minimisation

Now that  $Q$  has the right form, we are able to minimise:

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### Result:

We have multiplied  $\det(Q)$  by  $d_2^4$  and divided it by  $d_2^5$ ,  
 $\Rightarrow$  we have gained a factor  $d_2$ .

# What's next: Complexity

## 3 Complexity

## Complexity

We write  $g = \tilde{\mathcal{O}}(f)$  if there exists  $\alpha \in \mathbb{R}, \alpha \geq 0$  such that  $g = \mathcal{O}(f \log(f)^\alpha)$ .

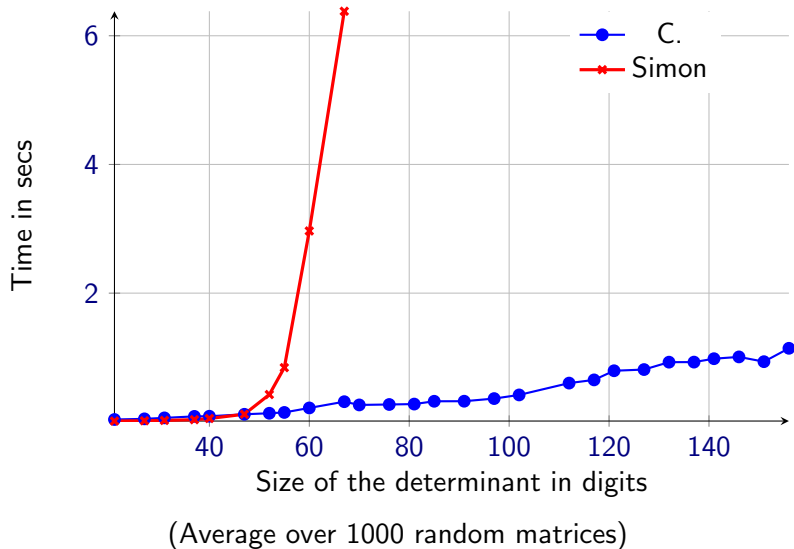
Complexity
Minimisation steps: $\tilde{\mathcal{O}}(\log( \Delta_5 )^7)$
Completion step: $\tilde{\mathcal{O}}(\log( \Delta_5 )^5)$
End of the algorithm: $\tilde{\mathcal{O}}(P(\log( \Delta_5 )))$

$P$ : non explicit polynomial given by the complexity of Simon's algorithm in dimensions 6 and 4.

Global complexity:

Probabilistic under GHR in  $\tilde{\mathcal{O}}(\log(|\Delta_5|)^7 + P(\log(|\Delta_5|)))$

# Comparison





# What's next: Example

## 4 Example

# A “ small ” example:

$$Q = \begin{bmatrix} -41810500374164527949162050704423006020380459470549441549000 & 835185125751711474764640430263188832887747861028728243476 & -109629181250946367483620687429622392514674281118131734834 & -28365884723436966814388533821682536351718650866618289304536 & -565813069066402326567775042232537882859812746557795676932 \\ 835185125751711474764640430263188832887747861028728243476 & -1260625116051131212379090705161108419180368703664768050556 & 18577846403787764223050374004967871681884357828090121294 & 37410780348074818430127752958818748429613491124778188 & 35610569822886571457153322124278066694514678943891130250756 \\ -10662929181250946367483696874296223925164576381138101774834 & 1857783845403787764223050374004968787483864367892896121294 & -5455829399051502481524030295642819077960791189402150453636 & 389141584563789254262040133952490826772828236528815370318 & 389141584563789254262040133952490826772828236528815370318 \\ -288658847234369668143885133102628131171851886618289304536 & 37463278834807281084301277529588187484296134911244778188 & 389141584563789254262040133952490826772828236528815370318 & -288658847234369668143885133102628131171851886618289304536 & 4373549606546788462306052611427759638789913388446323626388 \\ -58581306906640232656777504223253788285981274655779676932 & -3610569822886571467153322124278066694514678943891138256756 & 67852821814155488321137021175165366711611357408823708130588 & -4735496065467884623060526114277596387899133884623623426388 & -69489761313784936682295481141778780518878104693020860779224$$

# A “small” example:

$$Q = \begin{bmatrix} -41810500367436452794918203070423300602838042670569441549000 & 835185125757171147476464343263188832887747861028728243476 & -109629291812509463874839286087429622739253467628111831734834 & -2896486847242486960814388533821682636351718450866618289381636 & -5050513069066402328567779482232537882859812748557796476932 \\ 835185125757171147476464343263188832887747861028728243476 & -126062511051131212379090791561108419180368703664768050556 & 18573784543787764223053740049678716818843578028605121294 & 37410780348077401844301277529588387848426613491124778188 & 356105892388657145715332212427806664514076943891130250756 \\ -109629291812509463874839286087429622739253467628111831734834 & 37410780348077401844301277529588387848426613491124778188 & -545829399451502815240020564238190779697185402104513036 & 3814158493789254262048133954908267728282356228815370318 & 57682321814154683211370211733851961730113517498237941383508 \\ -2896486847242486960814388533821682636351718450866618289381636 & -36105892388657145715332212427806664514076943891130250756 & 3814158493789254262048133954908267728282356228815370318 & -258612867727894527786170518457985408838882791893825287788 & 42735496054878846233805251142775983879991328445242838388 \\ -585613096656042352856777968252337882859812748557796476932 & -36105892388657145715332212427806664514076943891130250756 & 57682321814154683211370211733851961730113517498237941383508 & -4775496054878846233805251142775983879991328445242838388 & -694897613137849366823954811417787805188781034693020860775224 \end{bmatrix}$$

$$\det(Q) = -11867840459046067337070056060552749739799119 \\ 612329906860272443106184215243620398241227088686 \\ 567163766883478844593814634595440693436234949087 \\ 491127359642479616640449784173297408619004481068 \\ 892088901946331771235813312305187060960723053316 \\ 362644916580516538177629348730016210305936885561 \\ 563614993869248 \ (\simeq 300 \text{ digits})$$

Thanks for your attention.

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