

# Non-vanishing of Dirichlet *L*-functions at the Central Point

Presented by :

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The complex number  $s$  is denoted by  $s = \sigma + it$

### **Definition ( A. Selberg 1989 )**

The Selberg Class consists of functions of complex variable  $F$  that satisfy the following conditions :

i) **Dirichlet Series**. For  $\sigma > 1$ ,  $F(s)$  can be written  $F(s) = \sum_{n=1}^{+\infty} \frac{a(n)}{n^s}$ , where  $a(1) = 1$ .

ii) **Analytic Continuation**. There exists an integer  $m \geq 0$  such that  $(s - 1)^m F(s)$  has an analytic continuation of finite order.

iii) **Functional Equation** . There exist real numbers  $Q > 0$  ,  $\lambda_j > 0$  and for  $1 \leq j \leq r$ , complex numbers  $\mu_j$  with  $Re(\mu_j) \geq 0$  such that the function

$$\phi(s) = Q^s \left( \prod_{j=1}^r \Gamma(\lambda_j s + \mu_j) \right) F(s) = \gamma(s) F(s)$$

satisfies the functional equation

$$\phi(s) = \omega \bar{\phi}(1 - s),$$

where  $\omega \in \mathbb{C}$  ,  $|\omega| = 1$  and  $\bar{\phi}(s) = \overline{\phi(\bar{s})}$ .

iv) **Eulerian product**. The function  $F$  can be written

$$F(s) = \prod_p F_p(s),$$

where  $F_p(s) = \exp \left( \sum_{k=1}^{+\infty} \frac{b(p^k)}{p^{ks}} \right)$  and  $b(p^k) = O(p^{k\theta})$

for a certain  $\theta < \frac{1}{2}$  and  $p$  is a prime number.

v) **Ramanujan Hypothesis**. For any fixed  $\epsilon > 0$ , we have as  $n$  is enough large  $a(n) = O(n^\epsilon)$ .

For any function  $F$  in the Selberg class  $S$ , we define **the degree** of  $F$  as :

$$d = d_F = 2 \sum_{j=1}^r \lambda_j.$$

- We denote by  $S_d$  the set of functions of  $S$  with fixed degree  $d$ .
- We denote by  $S_d^\#$  the set of functions of  $S^\#$  with fixed degree  $d$ .

**Remark :**

- $(S, \cdot)$  is a **monoid**.
- If  $F \in S$  is entire and if  $\theta \in \mathbb{R}$  then  $F_\theta(s) = F(s+i\theta)$  belongs to  $S$  (This holds also for  $S^\#$ ).

## **Theorem. (Conrey and Ghosh 1993)**

If  $F \in S$  then  $F_p(s)$  does not have zeros in  $\sigma > 1$ . Therefore  $F$  does not vanish for  $\sigma > 1$ .

The zeros of a function in  $S$  are :

- **The trivial zeros**

$$-\frac{n + \mu_j}{\lambda_j}, \text{ where } n \in \mathbb{N} \text{ and } 1 \leq j \leq r.$$

- **The non-trivial zeros** in the critical strip  $0 \leq \sigma \leq 1$ .

## Examples :

-The Riemann zeta function is defined for  $\sigma > 1$  by :

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_p (1 - p^{-s})^{-1}.$$

It has the functional equation

$$\phi(s) = \phi(1 - s),$$

where

$$\phi(s) = \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s),$$

where  $\Gamma$  is the gamma function.

-The Dirichlet  $L$ -functions is defined for  $\sigma > 1$  by :

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} = \prod_p \left( 1 - \frac{\chi(p)}{p^s} \right)^{-1},$$

where  $\chi$  is a primitive Dirichlet character (mod  $q$ ). It also satisfies the functional equation

$$\phi(s, \chi) = \frac{\tau(\chi)}{i^a \sqrt{q}} \phi(1 - s, \bar{\chi}),$$

where

$$\phi(s) = \left( \frac{q}{\pi} \right)^{\frac{s}{2}} \Gamma\left(\frac{s}{2} + \delta\right) L(s, \chi),$$

$\tau(\chi) = \sum_{n \pmod{q}} \chi(n) e^{\frac{2i\pi n}{q}}$ ,  $\delta = 0$  if  $\chi(-1) = 1$  and  $\delta = 1$  if  $\chi(-1) = -1$ .

-The Dedekind zeta function is defined for  $\sigma > 1$  by :

$$\zeta_k(s) = \sum_{\mathfrak{a}} \frac{1}{(N\mathfrak{a})^s} = \prod_p (1 - Np^{-s})^{-1},$$

where  $k$  is a number field,  $\mathfrak{a}$  runs over the ideals of  $k$  and  $N\mathfrak{a}$  is the norm of  $\mathfrak{a}$ . It satisfies the functional equation :

$$\phi(s) = \phi(1 - s),$$

where

$$\phi(s) = \left( \frac{\sqrt{|d_k|}}{2^{r_2} \pi^{\frac{n}{2}}} \right)^s \Gamma\left(\frac{s}{2}\right)^{r_1} \Gamma(s)^{r_2} \zeta_k(s),$$

where  $d_k$  is the discriminant of  $k$ ,  $n = [k, \mathbb{Q}]$  is the degree of the extension  $k | \mathbb{Q}$ ,  $r_1$  is the number of real places of  $k$  and  $r_2$  is the number of complex conjugates of  $k$ .



## -The Elliptic Curves

Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$  by the minimal model :

$$y^2 + k_1xy + k_2y = x^3 + k_3x^2 + k_4x + k_5,$$

where  $k_i \in \mathbb{Z}$ , ( $1 \leq i \leq 5$ ) and  $N$  is its conductor. We define

$$L_E(s) = \prod_{p|N} (1 - a_p p^{-\frac{1}{2}-s})^{-1} \prod_{p \nmid N} (1 - a_p p^{-\frac{1}{2}-s} + p^{-2s})^{-1},$$

where  $a_p = p + 1 - \text{card}(E_p)$  if  $(p \nmid N)$ .

By a theorem of Hasse, we have  $a_p < 2\sqrt{p}$ .

Therefore  $L_E(s)$  is convergent for  $\text{Re}(s) > 1$

and the following functional equation can be derived as follows :

$$\Lambda_E(s) = C \Lambda_E(1 - s),$$

where

$$\Lambda_E(s) = \left(\frac{\sqrt{N}}{2\pi}\right)^s \Gamma\left(s + \frac{1}{2}\right) L_E(s).$$

## Conjectures :

- The Riemann Hypothesis : All the non-trivial zeros lie on the line  $\Re(s) = \frac{1}{2}$  : They are also simple

- The Selberg Othonormality Conjecture (SOC) :

$$\sum_{p \leq x} \frac{a_F(p) \overline{a_G(p)}}{p} = \delta_{F,G} \log_2 x + O(1),$$

where  $\delta_{F,G} = 1$  if  $F = G$  and 0 otherwise.

- The Chowla Conjecture :  $L(1/2, \chi) \neq 0$  for primitive Dirichlet characters  $\chi$ .

- Serre extended the Chowla Conjecture to Artin  $L$ -functions of irreducible characters of Galois extensions over  $\mathbb{Q}$ .

## Results :

- R. Balasubramanian, K. Murty 1992 :  
true for 4 % of characters mod  $q$ .
  
- H. Iwaniec, P. Sarnak 1999 :  
true for 1/3 of characters mod  $q$ .
  
- R. Murty 1989 (GRH) :  
true for 50 % of characters mod  $q$ .
  
- E. Özlük, P. Snyder 1999 (GRH) :  
true for 15/16 of fundamental discriminants  $d$ ,  
 $L(1/2, \chi_d) \neq 0$ .
  
- K. Soundararajan 2000 :  
true for 87,5% of odd  $d > 0$ ,  $L(1/2, \chi_{8d}) \neq 0$ .
  
- N. Katz, P. Sarnak 1999 : (Under the "Pair-Correlation" Conjecture for "small" zeros of  $L$  functions) : true for 100 % of  $L(1/2, \chi_d) \neq 0$ .

## Computational Results :

- M. Watkins 2004 :

$L(s, \chi)$  has no real zeros for real odd  $\chi$  of modulus  $d \leq 3 \times 10^8$ . The last record was up to  $3 \times 10^5$  due to Low and Purdue (1968).

- Kok Seng 2005 :

$L(s, \chi)$  has no real zeros for real even  $\chi$  of modulus  $d \leq 2 \times 10^5$ . The last record was up to 986 due to Rosser.

- Explicit computation of values of  $L$ -functions require  $O(\sqrt{q})$  terms by using the smooth approximate functional equation : R. Rumely (93), A. Odlyzko(79), E. Tollu(98), M. Watkins (04), T. Dokchitser(04), M. Rubinstein (01) .

- Computing zeros of  $L$ -functions using the Explicit Formula in Number Theory : S. Omar (01, 08), A. Booker (06, 07), D. Miller (02).

## Theorem (Explicit Formula)

Let  $F$  satisfy  $F(0) = 1$  together with the following conditions :

**(A)**  $F$  is even, continuous and continuously differentiable everywhere except at a finite number of points  $a_i$ , where  $F(x)$  and  $F'(x)$  have only a discontinuity of the first kind, such that  $F(a_i) = \frac{1}{2}(F(a_i + 0) + F(a_i - 0))$ .

**(B)** There exists a number  $b > 0$  such that  $F(x)$  and  $F'(x)$  are  $O(e^{-(\frac{1}{2}+b)|x|})$  as  $|x| \rightarrow \infty$ .

Then the Mellin transform of  $F$  :

$$\Phi(s) = \int_{-\infty}^{+\infty} F(x) e^{(s-\frac{1}{2})x} dx$$

is holomorphic in every vertical strip  $-a \leq \sigma \leq 1 + a$  where  $0 < a < b$ ,  $a < 1$

We have :

$$\sum_{\rho} \Phi(\rho) = \ln\left(\frac{q}{\pi}\right) - I_{\delta}(F) - 2 \sum_{p,m \geq 1} \operatorname{Re}(\chi^m(p)) F(m \ln(p)) \frac{\ln(p)}{p^{m/2}}$$

where

$$I_{\delta}(F) = \int_0^{+\infty} \left( \frac{F(x/2) e^{-(\frac{1}{4} + \frac{\delta}{2})x}}{1 - e^{-x}} - \frac{e^{-x}}{x} \right) dx,$$

and

$$\delta = \begin{cases} 0 & \text{if } \chi(-1) = 1 \\ 1 & \text{if } \chi(-1) = -1. \end{cases}$$

## Conditional Bounds

We denote by  $\gamma_k$  the imaginary part of the  $k^{\text{th}}$  zéro of  $L(s, \chi)$  and  $n_\chi = \text{ord}L(1/2, \chi)$ .

Let

$$S(y) = n_\chi + \sum_{k \neq 0} n_k e^{-\gamma_k^2/4y}.$$

By the explicit formula, we have the identity

$$S(y) = \sqrt{\frac{y}{\pi}} \left( \ln\left(\frac{q}{\pi}\right) - I_\delta(F_y) - 2 \sum_{p,m} \frac{\ln(p)}{p^{m/2}} \text{Re}(\chi^m(p)) e^{-y(m \ln(p))^2} \right).$$

## Proposition

Assuming GRH, we have for all  $y > 0$

$$n_\chi \leq S(y)$$

and

$$\lim_{y \rightarrow 0} S(y) = n_\chi.$$

## Unconditional Bounds

We would have  $\Re \Phi(\sigma) \geq 0$  for  $0 \leq \sigma \leq 1$ . Then, we consider  $G_y(x) = \frac{F_y(x)}{\cosh(x/2)}$  where  $F_y$  is the conditional test function. Actually on both lines  $\sigma = 0, 1$ ,  $\Re \Phi(\sigma + it) = \widehat{F}_y(t) \geq 0$ .

We set

$$T(y) = n_\chi + \frac{1}{2 \int_0^{+\infty} \frac{e^{-yx^2}}{\cosh(x/2)} dx} \sum_{\rho \neq \frac{1}{2}} \Re \Phi(\rho).$$

By the Explicit Formulas, we have the identity

$$T(y) = g_y \left( \ln\left(\frac{q}{\pi}\right) - I_\delta(G_y) - 4 \sum_{p,m} \frac{\ln p}{1 + p^m} \Re(\chi^m(p)) e^{-y(m \ln p)^2} \right)$$

where

$$g_y = \frac{1}{2 \int_0^{+\infty} \frac{e^{-yx^2}}{\cosh(x/2)} dx}$$



## Proposition

For all  $y > 0$ , we have

$$n_\chi \leq T(y)$$

and

$$\lim_{y \rightarrow 0} T(y) = n_\chi.$$

## Proposition

Under GRH

$L(\frac{1}{2}, \chi) \neq 0$  holds if and only if there exists  $y > 0$  such that  $S(y) < 1$ .

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## Theorem

Under GRH, we have

$$n_\chi \ll \frac{\ln(q)}{\ln \ln(q)},$$

Unconditionally, we have

$$n_\chi < \ln(q).$$

## Theorem

Let  $\rho_\chi = 1/2 + i\gamma_\chi$  be the lowest zero on the critical line of  $L(s, \chi)$ .

Under GRH, we have

$$|\gamma_\chi| \ll \frac{1}{\ln \ln(q)}$$

These estimates remain true for the Selberg Class in term of the conductor.

## Numerical Evidence for $n_\chi = 0$

$q$	$y_0$	$\max_\chi S(y_0)$	$y$	$\max_\chi T(y)$	$p_0$	$n_\chi$	<i>time</i>
$10 \leq q < 10^2$	0.3	0.50410	0.3	0.67812	100	0	50m
$10^2 \leq q < 10^3$	0.2	0.46543	0.2	0.57037	$10^3$	0	14h
$10^3 \leq q < 10^4$	0.11	0.41720	0.11	0.52140	$4 \times 10^3$	0	4 d
$10^4 \leq q < 10^5$	0.09	0.34512	0.09	0.37528	$10^4$	0	6 d
$10^5 \leq q < 10^6$	0.08	0.28643	0.07	0.31726	$6 \times 10^4$	0	9 d
$10^6 \leq q < 10^7$	0.07	0.13242	0.07	0.09642	$10^5$	0	14 d
$10^7 \leq q < 10^8$	0.05	0.07830	0.05	0.08347	$5 \times 10^5$	0	20 d
$10^8 \leq q < 10^9$	0.04	0.05176	0.04	0.06941	$10^6$	0	50 d
$10^9 \leq q < 10^{10}$	0.01	0.25871	0.01	0.35762	$5 \times 10^6$	0	180 d

## Theorem ( The Li Criterion 1997)

$$(RH) \Leftrightarrow \lambda_n = \frac{1}{(n-1)!} \frac{d^n}{ds^n} [s^{n-1} \log \xi(s)]_{s=1} \geq 0, \forall n \in \mathbb{N},$$

$$\text{where } \xi(s) = s(s-1)\pi^{-\frac{s}{2}}\Gamma\left(\frac{s}{2}\right)\zeta(s).$$

Also, we have

$$\lambda_n = \sum_{\rho} \left[ 1 - \left(1 - \frac{1}{\rho}\right)^n \right],$$

where  $\rho$  runs over the set of the non-trivial zeros of  $\zeta$ .

## The Generalized Li Criterion

In 1999, Bombieri and Lagarias proved the following theorem :

Let  $\mathfrak{R}$  be a set of complex numbers  $\rho$  of multiplicity a positive integer and such that  $1 \notin \mathfrak{R}$  and  $\sum_{\rho} \frac{1 + |\operatorname{Re}(\rho)|}{(1 + |\rho|)^2} < \infty$  then the following conditions are equivalent :

1-  $\operatorname{Re}\rho \leq \frac{1}{2}$  for any  $\rho \in \mathfrak{R}$  .

2-  $\sum_{\rho} \operatorname{Re} \left[ 1 - \left( 1 - \frac{1}{\rho} \right)^{-n} \right] \geq 0$  for  $n = 1, 2, \dots$

## Corollary ( Li's Generalized Criterion).

For any  $F \in S$ , we have

$$(H \ R \ G) \Leftrightarrow \lambda_F(n) = \sum_{\rho} \left[ 1 - \left(1 - \frac{1}{\rho}\right)^n \right] \geq 0, \quad \forall n \in \mathbb{N},$$

where  $\rho$  is a non-trivial zero of  $F$  and

$$\sum_{\rho} = \lim_{T \rightarrow +\infty} \sum_{|Im(\rho)| < T} .$$

## Theorem (S.Omar, K. Mazouda 2007)

Let  $F$  be a function in the Selberg class. We assume that  $F$  does not vanish on the ligne  $Re(s) = 1$ . Then, we have

$$\begin{aligned} \lambda_F(n) &= m_F + n(\log Q_F - \frac{d_F}{2}\gamma) \\ &- \sum_{l=1}^n \binom{n}{l} \frac{(-1)^{l-1}}{(l-1)!} \lim_{X \rightarrow +\infty} \left\{ \sum_{k \leq X} \frac{\Lambda(k)}{k} (\log k)^{l-1} - \frac{m_F}{l} (\log X)^l \right\} \\ &+ n \sum_{j=1}^r \lambda_j \left( -\frac{1}{\lambda_j + \mu_j} + \sum_{l=1}^{+\infty} \frac{\lambda_j + \mu_j}{l(l + \lambda_j + \mu_j)} \right) \\ &- \sum_{j=1}^r \sum_{k=2}^n \binom{n}{k} (-\lambda_j)^k \sum_{l=1}^{+\infty} \left\{ \frac{1}{l + \lambda_j + \mu_j} \right\}^k. \end{aligned}$$

## Theorem (S.Omar, K.Mazouda 2008)

• Under (GRH), we have :

$$\lambda_F(n) = \frac{d_F}{2} n \log n + c_F n + O(\sqrt{n} \log n),$$

with

$$c_F = \frac{d_F}{2}(\gamma - 1) + \frac{1}{2} \log(\lambda Q_F^2), \lambda = \prod_{j=1}^r \lambda_j^{2\lambda_j}.$$

• If  $\lambda_F(2) > 0$  then there does not exist a siegel zero for  $F(s)$ .