Computing Pro-p Galois Groups

Nigel Boston and Harris Nover

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Introduction

Finite Galois Groups Infinite Galois Groups Combining Group Theory and Number Theory Computations

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Overview of the Talk

Finite Galois Groups

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Overview of the Talk

- Finite Galois Groups
- Infinite Galois Groups

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- Finite Galois Groups
- Infinite Galois Groups
- Combining Group Theory and Number Theory Computations

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Notation

► K− number field

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- p- rational prime (usually p=2)

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- p- rational prime (usually p=2)
- S- finite set of primes of K none lying above p
- K^{S} union of *p*-extensions of *K* unramified outside *S*
- $G- \operatorname{Gal}(K^S/K)$

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Why We Care About G

▶ *p*-class towers of historical importance (the case of $S = \emptyset$)

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- ▶ Root-discriminant bounds: e.g. among totally complex K, how small can rd(K) := |Disc(K)|^{1/[K:Q]} be? Under GRH, Liminf ≥ 44. Record: Liminf ≤ 82 (Hajir-Maire).

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- Note: If L/K is unramified, then rd(L) = rd(K). So if Gal(K[∅]/K) is infinite, then above Liminf ≤ rd(K).
- ▶ The tame case of the Fontaine-Mazur Conjecture: every *p*-adic representation $G \rightarrow GL_n(\mathbf{Z}_p)$ has finite image.

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- ▶ The tame case of the Fontaine-Mazur Conjecture: every *p*-adic representation $G \rightarrow GL_n(\mathbf{Z}_p)$ has finite image.
- Interesting pro-p groups arise for group theorists.

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Ingredients

• Our goal is to find G. We know various things about G.

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- ► If H is a subgroup of finite index, it equals Gal(K^S/L) for some number field L.
- ► By class field theory, its maximal abelian quotient H/H' is isomorphic to the *p*-primary part Cl_S(L) of a ray class group of L (and in particular is finite).

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- ▶ By Burnside's basis theorem, the generator rank d(G) equals d(G/G') = d(Cl_S(K)).

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- ► Shafarevich: $0 \le r(G) d(G) \le r_1 + r_2 1 + \theta_S$ ($\theta_S = 0, 1$).

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- ► Shafarevich: $0 \le r(G) d(G) \le r_1 + r_2 1 + \theta_S$ ($\theta_S = 0, 1$).
- In certain cases, e.g. K = Q, the relations of G come from local, i.e. tame, relations. These say that the generator τ_i of inertia at q_i ∈ S satisfies τ^{σ_i}_i = τ^{q_i}_i note we do not know the Frobenius elements σ_i in terms of the generators τ_i of G.

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Finite G

 Our strategy (NB, Leedham-Green) is to search for G by finding successively larger quotients of it, namely its p-central series quotients.

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- We focus on fields K such that rd(K) is small but Cl_∅(K) is large.

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- ► The method also yields theoretical results (same input ⇒ same output) E.g. Benjamin, Lemmermeyer, Snyder computed 2-class towers of imaginary quadratic K with Cl_Ø(K) = [2, 2, 2] but left gaps.

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O'Brien's Algorithm

▶ The lower *p*-central series of a *p*-group *G* is given by: $P_0(G) = G$, $P_{k+1}(G) = P_k(G)^p[G, P_k(G)]$. So $G = P_0(G) \ge P_1(G) \ge ...$

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- The smallest c such that $P_c(G) = \{1\}$ is the p-class of G.

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- The smallest c such that $P_c(G) = \{1\}$ is the p-class of G.
- ▶ We obtain a sequence of *p*-quotients $G = G/P_c(G) \rightarrow G/P_{c-1}(G) \rightarrow ...G/P_1(G) \cong (\mathbf{Z}/p)^{d(G)}.$

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- If H ≅ G/P_{k+1}(G) and K ≅ G/P_k(G), we say that H is an immediate descendant of K.
- O'Brien's algorithm finds all immediate descendants of a given p-group K (up to isomorphism).

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Method with Leedham-Green

► To find our Galois group G, we successively find G/P_k(G) (k = 1, 2, ...).

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- ► Given G/P_{k-1}(G), O'Brien's algorithm yields all possible G/P_k(G).
- ► We only save those G/P_k(G) that are number theoretically feasible- namely that do not violate information we have about abelianizations of low index subgroups of G or about the generator and relation ranks of G.

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Generating Lattice Data

For simplicity of exposition, take the case p = 2 and S = Ø. For the general case the same ideas apply with class groups replaced by certain ray class groups. We want G = Gal(K[∅]/K).

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- First calculate the 2-class group Cl_∅(K). This tells us G/G' and so G/P₁(G) and d(G).

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- Stop there, or find all unramified quadratic extensions of all such L and their 2-class groups. Check for duplicates.

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- Stop there, or find all unramified quadratic extensions of all such L and their 2-class groups. Check for duplicates.
- Now you have the abelianizations of all index ≤ 4 subgroups of G.

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Pruning the Tree (Abelianizations)

• Given $G/P_{k-1}(G)$, find all its immediate descendants.

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- Given $G/P_{k-1}(G)$, find all its immediate descendants.
- ► For each such P, compute its subgroups of index ≤ 4 and their abelianizations.

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- The abelianizations for P have to be no bigger than those for G.
- ▶ For k large enough, the abelianizations for P must equal those for G.
- Delete any P that fail either of these two constraints.

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Pruning the Tree (Cohomology)

▶ Lemma: If $G_k = G/P_k(G)$, then the difference between the *p*-multiplicator rank and nuclear rank of *G* is at most r(G).

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- ▶ Lemma: If $G_k = G/P_k(G)$, then the difference between the *p*-multiplicator rank and nuclear rank of *G* is at most r(G).
- Moreover, we have earlier bounds for r(G) for instance if K is totally complex, 0 ≤ r(G) d(G) ≤ [K : Q]/2. Knowing d(G) this bounds r(G).

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- Moreover, we have earlier bounds for r(G) for instance if K is totally complex, 0 ≤ r(G) d(G) ≤ [K : Q]/2. Knowing d(G) this bounds r(G).
- For the immediate descendant under consideration, P, delete it if the difference between its p-multiplicator rank and nuclear rank is too large.

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- Moreover, we have earlier bounds for r(G) for instance if K is totally complex, 0 ≤ r(G) d(G) ≤ [K : Q]/2. Knowing d(G) this bounds r(G).
- For the immediate descendant under consideration, P, delete it if the difference between its p-multiplicator rank and nuclear rank is too large.
- You can also keep track of inertial generators and complex conjugation (no help if S = Ø and K is totally complex!).

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Narrowing Candidates

If this process terminates, then we know G is on the list of candidates (so G is finite).

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- If this process terminates, then we know G is on the list of candidates (so G is finite).
- This (often long) list can be shortened by finding an index 4 subgroup whose index 8 subgroups differ among the different candidates.

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- If this process terminates, then we know G is on the list of candidates (so G is finite).
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- Find the field corresponding to this index 4 subgroup.

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- ► Find the field corresponding to this index 4 subgroup.
- Find its unramified quadratic extensions and their 2-class groups.

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- This (often long) list can be shortened by finding an index 4 subgroup whose index 8 subgroups differ among the different candidates.
- ► Find the field corresponding to this index 4 subgroup.
- Find its unramified quadratic extensions and their 2-class groups.
- See which of the candidates have matching abelianizations.

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• Let $K = \mathbf{Q}(\sqrt{-3135})$.

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- Let $K = \mathbf{Q}(\sqrt{-3135})$.
- rd(K) = 56 so if K has an infinite 2-class tower, then the liminf bound drops from 82 to 56.

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- Its 2-class group is [2, 2, 2], one of the cases Benjamin-Lemmermeyer-Snyder left open.

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- Its 2-class group is [2, 2, 2], one of the cases Benjamin-Lemmermeyer-Snyder left open.
- Lattice data K has 7 unramified quadratic extensions; the 2-class groups are [2, 2, 2] (three times), [2, 8] (twice), [2, 2, 2, 2] (once), [2, 16] (once).

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- Lattice data K has 7 unramified quadratic extensions; the 2-class groups are [2,2,2] (three times), [2,8] (twice), [2,2,2,2] (once), [2,16] (once).
- ► At the next level we get 31 fields, degree 4 over K, and their 2-class groups.

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Example (Continued)

G/P₁(G) ≅ (Z/2)³, which by O'Brien has 67 immediate descendants.

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- Of these, 4 fail the cohomological condition.

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- ► G/P₁(G) ≅ (Z/2)³, which by O'Brien has 67 immediate descendants.
- Of these, 4 fail the cohomological condition.
- ► A further 44 have too large an abelianization.

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- G/P₁(G) ≅ (Z/2)³, which by O'Brien has 67 immediate descendants.
- Of these, 4 fail the cohomological condition.
- A further 44 have too large an abelianization.
- Another 18 have an index 2 subgroup with too large abelianization.

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- G/P₁(G) ≅ (Z/2)³, which by O'Brien has 67 immediate descendants.
- Of these, 4 fail the cohomological condition.
- A further 44 have too large an abelianization.
- Another 18 have an index 2 subgroup with too large abelianization.
- Leaves 1 immediate descendant, which must be $G/P_2(G)!$

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Example (Continued)

► This group has 186 immediate descendants.

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- All but 16 (all order 256) fail cohomological or abelianization criterion.
- ► The search grows, but ultimately we're left with 240 candidates for *G*.
- We apply the cohomological criterion to low index subgroups of each candidate, leaving 84 survivors.
- Computing extensions of particular degree 4 extensions of K eventually cut us down to 4 candidates, all order 8192 and of derived length 3.

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Upshot in Finite Case

The search for fields of low discriminant but infinite 2-class tower has a long history.

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Upshot in Finite Case

- The search for fields of low discriminant but infinite 2-class tower has a long history.
- Several years ago, Stark asked if Q(√-2379) (2-class group [4,4]) has infinite 2-class tower, i.e. infinite G. Bush showed it finite and obtained the first examples with derived length 3.

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- ▶ Next promising case, $\mathbf{Q}(\sqrt{-3135})$, shown to have finite *G* by Nover.
- ▶ Next one, $\mathbf{Q}(\sqrt{-5460})$, leads to combinatorial explosion but is suspected to have finite *G*.
- Perhaps there are better lower bounds for Liminf ?!

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Infinite G

▶ We know very little about infinite *G*.

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Infinite G

- We know very little about infinite G.
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Infinite G

- We know very little about infinite G.
- How do we proceed in this case?
- Idea: Write down everything we know about G and find all such pro-p groups!

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An Experiment

• Let $K = \mathbf{Q}$, p = 2, and $S = \{q, r\}$, where $q, r \equiv 5 \pmod{8}$.

Nigel Boston and Harris Nover Computing Pro-p Galois Groups

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G has pro-2 presentation of the form
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- Idea: pick random words c, d and look at $\langle x, y | x^c = x^5, y^d = y^5 \rangle$.
- ▶ If the abelianizations of its low index subgroups are all finite and the sizes of its *p*-quotients do not stabilize (within range of computer), then save *c*, *d*.

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An Experiment (Continued)

▶ We thus obtain some plausible *c*, *d* and so plausible *G*.

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- We thus obtain some plausible *c*, *d* and so plausible *G*.
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An Experiment (Continued)

- We thus obtain some plausible *c*, *d* and so plausible *G*.
- Amazing observation the G that survive belong to one special family.
- ► Conjecture: (1) *G* has presentation of the form $< x, y \mid x^a = x^5, y^4 = 1 >$ (2) Moreover, the orders of $G/P_k(G)$ (k = 1, 2, ...) are $2^2, 2^5, 2^8, 2^{11}, 2^{14}, 2^{16}, 2^{20}, 2^{24}, 2^{30}, 2^{36}, 2^{44}, 2^{52}, 2^{64}, 2^{76}, 2^{93}, ...$

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Strategy in Infinite Case

A Golod-Shafarevich (G-S) group is one satisfying r(G) ≤ d(G)²/4.

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- ► The Virtual Golod-Shafarevich (VGS) Conjecture says that infinite *G* always have a G-S subgroup of finite index.

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- A Golod-Shafarevich (G-S) group is one satisfying $r(G) \le d(G)^2/4$.
- ► To show that *G* is infinite, it's enough to find a G-S subgroup of finite index.
- The Virtual Golod-Shafarevich (VGS) Conjecture says that infinite G always have a G-S subgroup of finite index.
- Strategy to prove G infinite find family of groups that G belongs to; find their G-S subgroup; locate the corresponding field; apply Golod-Shafarevich to it.

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Tame Fontaine-Mazur Conjecture

Recall this says that no G has an infinite quotient that's a subgroup of some GL_n(Z_p).

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Tame Fontaine-Mazur Conjecture

- Recall this says that no G has an infinite quotient that's a subgroup of some GL_n(Z_p).
- The VGS conjecture implies more generally that every infinite G has a large action on a locally finite, rooted tree.

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Arboreal Galois Representations

 If T is a locally finite, rooted tree, then a continuous homomorphism Gal(K/K) → Aut(T) is called an arboreal Galois representation.

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Arboreal Galois Representations

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- One source of these comes from the extension of the tame Fontaine-Mazur conjecture.

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- One source of these comes from the extension of the tame Fontaine-Mazur conjecture.
- Another source comes from Galois action on roots of iterates of a polynomial.
- In analogy to p-adic Galois representations, we look to characterize their images and the images of their Frobenius elements.

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Example (with Rafe Jones)

• Let
$$f = (x+1)^2 - 2 \in \mathbf{Q}[x]$$
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Example (with Rafe Jones)

- Let $f = (x+1)^2 2 \in \mathbf{Q}[x]$.
- ► The *n*th iterate of *f* has discriminant a 2-power, so the Galois action on its roots is ramified only at 2 and ∞. (These roots form the *n*th level of a tree *T*.)

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- ▶ Big Question: Is the union of the splitting fields of all these iterates the maximal 2-extension of Q unramified outside 2 and ∞?

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- ▶ Big Question: Is the union of the splitting fields of all these iterates the maximal 2-extension of Q unramified outside 2 and ∞?
- ► Thanks to Klüners and Fieker, we find the Galois groups of the *n*th iterates (n = 1, 2, ..., 7), which have orders 2¹, 2³, 2⁶, 2¹¹, 2²², 2⁴³, 2⁸⁶.

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Conjecture

The Basilica group B =< a, b > is a known subgroup of Aut(T) with similar growth.

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- The Basilica group B =< a, b > is a known subgroup of Aut(T) with similar growth.
- ► Conjecture: The Galois group of the *n*th iterate over Q(*i*) is the subgroup < [*a*, *b*], *aba* > acting on the 2ⁿ vertices of *T* at level *n*.

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- ▶ The closure of < [*a*, *b*], *aba* > is not free.
- ► The Galois group over Q(i) of the maximal 2-extension unramified outside 2 and ∞ is free (Markscheitis, 1963).

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- ► Conjecture: The Galois group of the *n*th iterate over Q(*i*) is the subgroup < [*a*, *b*], *aba* > acting on the 2ⁿ vertices of *T* at level *n*.
- ▶ The closure of < [*a*, *b*], *aba* > is not free.
- ► The Galois group over Q(i) of the maximal 2-extension unramified outside 2 and ∞ is free (Markscheitis, 1963).
- Consequence: Answer to the big question is no. (In fact, we just need that B contains no nonabelian free pro-2 subgroup.)